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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

5

Application Number

10/650,171

Filing Date

August 27, 2003

First Named Inventor

Yakir, A.

Group Art Unit

2171

Examiner Name

Unassigned

Attorney Docket Number

021154-000710US

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s)

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

- 1) Return receipt postcard
- 2) PTO/SB/08A and PTO/SB/08B forms
- 3) Six (6) references

Remarks

The Commissioner is authorized to charge any additional fees to  
Deposit Account 20-1430.

Total number of pages does not include cited references.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
and  
Individual name

Townsend and Townsend and Crew LLP

Sujit B. Kotwal

Reg No. 43,336

Signature

S.B. Kotwal

Date

June 3, 2004

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

June 5, 2004

Typed or printed name

Christopher R. Fitting

Signature

Date

June 5, 2004

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60230838 v1



PATENT  
Attorney Docket No.: 021154-000710US

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

RONY YAKIR et al.

Application No.: 10/650,171

Filed: August 27, 2003

For: TECHNIQUES FOR MOVING  
STUB FILES WITHOUT RECALLING  
DATA

Examiner: Unassigned

Art Unit: 2171

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of the non US Patent references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

*S. B. Kotwal*

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Reg. No. 43,336

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SBK:crf  
60230820 v1



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/650,171		
		Filing Date	August 27, 2003		
		First Named Inventor	Yakir, Rony		
		Art Unit	2171		
		Examiner Name	Unassigned		
Sheet	1	of	2	Attorney Docket Number	021154-000710US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	AA	US-6,631,477	10-07-2003	LeCrone et al.	
	AB	US-6,584,497	06-24-2003	Case et al.	
	AC	US-6,366,988	04-02-2002	Skiba et al.	
	AD	US-6,330,572	12-22-2001	Sitka	
	AE	US-6,154,817	11-28-2000	Mohan et al.	
	AF	US-6,038,379	03-14-2000	Fletcher et al.	
	AG	US-6,035,373	03-07-2000	Iwata	
	AH	US-5,933,603	08-03-1999	Vahalia et al.	
	AI	US-5,798,766	08-25-1998	Hayashi et al.	
	AJ	US-5,778,395	07-07-1998	Whiting et al.	
	AK	US-5,689,681	11-18-1997	Petersen	
	AL	US-5,606,689	02-25-1997	Nakagawa	
	AM	US-5,506,986	04-09-1996	Healy	
	AN	US-5,485,606	01-16-1996	Midgley et al.	
	AO	US-5,202,982	04-13-1993	Gramlich et al.	
	AP	US-5,131,087	07-14-1992	Warr	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.  
60230820 v1

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/650,171
				Filing Date	August 27, 2003
				First Named Inventor	Yakir, Rony
				Art Unit	2171
				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	021154-000710US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AQ	HSM For NetWare- White Paper: A Guide to Hierarchical Storage Management from <a href="http://support.ca.com/techbases/hsm/HSMWhitePaper.html">http://support.ca.com/techbases/hsm/HSMWhitePaper.html</a> visited on 01/10/2003.	
	AR	Microsoft Corporation, Microsoft Windows 2000 Server white paper, Enterprise Class Storage in Windows 2000, 1999, pgs. 11, 19, 20.	
	AS	The PC Guide, May 11, 2001, <a href="http://web.archive.org/web/20010511060256/http://www.pcguides.com/ref/hdd/file/ntfs/sec_Res.htm">http://web.archive.org/web/20010511060256/http://www.pcguides.com/ref/hdd/file/ntfs/sec_Res.htm</a>	
	AT	Powers, S. et al. (July 1999). "Complementing AS/400 Storage Management Using Hierarchical Storage Management APIs, A Practical Approach to AS/400 Storage Management," International Technical Support Organization; from <a href="http://www.redbooks.ibm.com/pubs/pdfs/redbooks/sg244450.pdf">http://www.redbooks.ibm.com/pubs/pdfs/redbooks/sg244450.pdf</a> visited on 01/10/2003	
	AU	SafeCapacity™ User's Manual Version 2.14 (01/01/2002) Solution-Soft, Santa Clara, CA 48 pages total.	
	AV	SIYAN, K.S., "Windows 2000 Server Professional Reference, July 10, 2000, ISBN 0-7357-0952-1," Implementing File System Security Using File Permissions, chapter 9.	

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.